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# MODELING OF NON-EQUILIBRIUM EFFECTS IN SILICON IRRADIATED BY PULSED LASER

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# Modeling of non-equilibrium effects in silicon irradiated by pulsed laser

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#### **Abstract**

In this paper, we use the methods of mathematical modeling to investigate the action of laser pulse (picosecond) with a wave length of  $\lambda_L = 0.53 \mu m$  and the photon energy exceeding the band gap of silicon  $\hbar\omega > E_g$  on silicon target. The main feature of these regimes of laser irradiation is strong nonequilibrium of heating and melting processes, which is manifested in a large temperature difference between the carriers and the lattice.

#### Introduction

Pulsed laser radiation is a widely used tool for precision machining of materials, including semiconductors. Among semiconductor materials, silicon was most widespread in the instrumentmaking and is one of the most promising materials for thin-film nanotechnology.

To optimize existing and develop new technologies of laser surface treatment of semiconductors it is necessary to perform a detailed study of the dynamics of processes occurring in the irradiation zone and leading to surface modification, including an analysis of the processes of heating, melting and evaporation.

In this paper, we use the methods of mathematical modeling to investigate the action of laser pulse (picosecond) with a wave length of  $\lambda_L = 0.53 \mu m$  and the photon energy exceeding the band gap of silicon  $\hbar\omega > E_g$  on silicon target. laser irradiation is strong non-equilibrium of heating and melting processes, which is manifested in a large temperature difference between the carriers and the lattice.

Laser radiation with intensity G(t), Gaussian distribution and wavelength  $\lambda_L$  extending from left to right (Fig. 1) falls on silicon target surface, where the part of the radiation is reflected and some is absorbed. The released energy of laser pulse causes heating, melting (moving boundary  $\Gamma_{sl}$  melting front) and evaporation (moving boundary  $\Gamma_{lv}$  - evaporation front).

#### Model

The mathematical model consists of transport equations of the laser radiation, which takes into account the temperature dependence of the reflectivity of the surface, the carrier balance equation that takes into account generation (photoionization) and recombination of charged particles (Auger recombination, and photo-recombination), the balance equations of energy carriers and the lattice, taking into account the absorption of laser energy, the exchange of energy between the electron and phonon subsystems, heat and mass transfer [1-2].

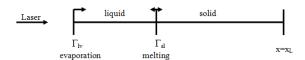


Fig.1. Scheme of laser irradiation.

The basis of first-order phase transitions is the mechanism of heterogeneous melting evaporation. The process of melting - crystallization is described in approximation of classical variant of Stefan problem and the process of evaporation is described in approximation of Knudsen layer (single-phase version of Stefan problem).

Semiconductor has the properties of metal after melting temperature is reached. And therefore it is necessary to write equations for the solid and liquid regions.

Equations for solid region:

$$\frac{\partial N}{\partial t} = -\frac{\partial J}{\partial x} + I_{en} - R_{en},\tag{1}$$

$$\frac{\partial \varepsilon_e}{\partial t} = -\frac{\partial W_e}{\partial x} - \frac{\partial G}{\partial x} - g(T_e)_{lat}(T_e - T_{lat}),\tag{2}$$

$$\frac{\partial \varepsilon_{lat}}{\partial t} = -\frac{\partial W_{lat}}{\partial x} + g(T_e)_{lat} (T_e - T_{lat}), \tag{3}$$

$$\begin{split} \frac{\partial N}{\partial t} &= -\frac{\partial J}{\partial x} + I_{en} - R_{en}, \\ \frac{\partial \varepsilon_e}{\partial t} &= -\frac{\partial W_e}{\partial x} - \frac{\partial G}{\partial x} - g(T_e)_{lat} (T_e - T_{lat}), \\ \frac{\partial \varepsilon_{lat}}{\partial t} &= -\frac{\partial W_{lat}}{\partial x} + g(T_e)_{lat} (T_e - T_{lat}), \\ \frac{\partial G}{\partial x} &= -(\alpha + \beta_1)G - \beta_2 G^2, \\ \Gamma_{sl} &< x < x_I \end{split} \tag{1}$$

Equation (1) is equation for a concentration. Equations (2), (3) are energy equations for electron component and lattice. Equation (4) is equation of laser energy transfer.

Equations for liquid region:

$$\frac{\partial \varepsilon_e}{\partial t} = \frac{\partial}{\partial x} \left( \lambda_e (T_e, T_l) \frac{\partial T_e}{\partial x} \right) - g(T_e) (T_e - T_l) - \frac{\partial G}{\partial x}$$
 (5)

$$\frac{\partial \varepsilon_l}{\partial t} = \frac{\partial}{\partial x} \left( \lambda_l (T_l) \frac{\partial T_l}{\partial x} \right) + g(T_e) (T_e - T_l) \tag{6}$$

$$\frac{\partial G}{\partial x} = -\alpha G, \qquad G(t) = G_0 exp\left(-\left(\frac{t}{\tau}\right)^2\right) \tag{7}$$

$$\Gamma_{lv} < x < \Gamma_{sl}$$

Where N- carrier concentration, J- particle current density,  $\varepsilon_e$  in  $\varepsilon_{lat}$  — internal energy of electron gas and lattice,  $W_e$  in  $W_{lat}$  — heat flow of electron gas and lattice,  $x_L$  — right end of the sample,  $\lambda_e$  in  $\lambda_l$  — heat conductivity coefficient of electron gas and liquid

metal, 
$$I_{en} = \frac{\beta_1 G}{\hbar \omega} + \frac{\beta_2 G^2}{2\hbar \omega} + k_i (T_e) N$$
 and  $R_{en} = \gamma N^3 -$ 

electron-hole pairs generation and recombination velocities,  $\gamma$  - Auger recombination coefficient and  $\omega$  - laser irradiation frequency,  $\alpha$  - free-carrier absorption coefficient,  $\beta_I$  and  $\beta_2$  - coefficients of one and two photon absorption,  $k_i$  - collision ionization coefficient,  $g(T_e)$ ,  $g(T_e)_{lat}$  - electron-lattice energy exchange factor for metal and semiconductor,  $g(T_e)_{lat} = C_e/\tau_E$ ,  $\tau_E$  - energy relaxation time,  $C_e$  - heat capacity.  $g(T_e)$  and other thermophysical properties of metals reported in [4]. Equations (5), (6) are energy equations for electron component and lattice one. Equation (7) is equation of laser energy transfer.

Boundary conditions:

$$x = x_I$$
:  $J = 0$ ,  $W_a = W_{lat} = 0$ 

 $x = \Gamma_{cl}(t)$ : for electron component:

$$(W_e)_s = (W_e)_l, \quad (T_e)_s = (T_e)_l,$$

for lattice:  $T_s = T_l = T_m$ ,

$$\left(\lambda_{lat} \frac{\partial T_{lat}}{\partial x}\right)_{s} - \left(\lambda_{lat} \frac{\partial T_{lat}}{\partial x}\right)_{l} = \rho_{s} L_{m} \nu_{sl},$$

 $x = \Gamma_{b}(t)$ : for electron component:

$$J = 0$$
,  $W_a = 0$ ,  $G_t(t) = AG(t)$ 

for lattice:

$$\lambda \frac{\partial T_l}{\partial x} = \rho_l L_{\upsilon} \upsilon_{l\upsilon}$$

$$\rho_l \upsilon_{l\upsilon} = \rho_{\upsilon} (\upsilon_{l\upsilon} - u)$$

$$P_l + \rho_l \upsilon_{l\upsilon}^2 = P_{\upsilon} + \rho_{\upsilon} (\upsilon_{l\upsilon} - u)^2$$

$$T_{\upsilon} = 0.633T_l, \quad \rho_{\upsilon} = 0.326\rho_{sat}, \quad u = (\gamma R T_{\upsilon})^{1/2}$$

$$\rho_{sat} = \frac{P_{sat}}{R T_t}, \quad P_{sat} = p_b \exp\left[\frac{L_{\upsilon}}{R T_b} \left(1 - \frac{T_b}{T_t}\right)\right]$$

Indexes *lat*, *l*, *v*, *s*, *sat*, *b* mean values affiliation to semiconductor lattice, metal liquid phase, vapor, solid phase, saturated vapor and boiling under normal conditions.

#### Method

Application of the method of dynamic adaptation to the numerical solution of differential equations in partial derivatives allowed determining the spatial and temporal distribution of temperature fields, explicitly distinguishing the position of the moving phase boundary, the speed of its movement, the lifetime of the melt and the thickness of melted and vaporized layers.

Dynamic adaptation method is based on transition to arbitrary non-stationary coordinate system. Following reference [3] we can carry out transition from physical space with Euler variables to calculation space with arbitrary non-stationary coordinate system.

Function characterizing non-stationary coordinate system velocity is not defined and must be found. For definition of this function quasi-stationarity principle was used. It implies that such coordinate system in which  $\partial u/\partial \tau = 0$  is assumed to be found.

## Results

Regimes of irradiation with a Gaussian intensity distribution in the pulse  $_{G(t)=G_0exp}(-(t/\tau)^2)$  were considered. Pulse duration  $\tau_L=10$  ps. The maximum value of the intensity varied from  $G_0=3x10^9$  to  $5x10^{10}$  W/cm². Figures 2 and 3 show the time profiles of temperature and radiation at intensity  $G_0=3x10^9$  W/cm². It can be seen that at such intensity the gap between the electron temperature and the temperature of the lattice is clearly seen, but the melting does not occur yet.

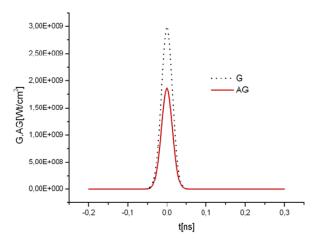


Fig. 2. Time dependences of incident G (black dotted curve) and absorbed part AG (red solid curve) of laser radiation intensity,  $G_0 = 3x10^9 \, \text{W/cm}^2.$ 

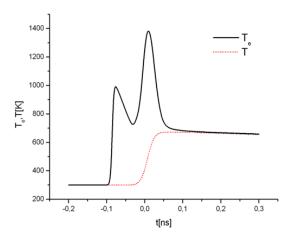


Fig. 3. Time dependences of electron  $T_e$  (black solid curve) and lattice (red dotted curve) temperatures.

Increasing the intensity by one order of magnitude leads to the melting of silicon, which starts at the back front of the laser pulse. Typical time profiles of the laser radiation, surface temperatures, melting front velocity and (non)-equilibrium carrier concentrations on the surface for  $3x10^{10}$  W/cm<sup>2</sup> energy pulse are shown in Fig. 4-7. Since the melting of lattice starts at the back front of the pulse, the maximum velocity  $v_{sl}$  reaches relatively low value of ~ 27 m/s.

A small change in the intensity of the radiation to  $5x10^{10}$  W/cm<sup>2</sup> (Fig. 8-11) leads to the beginning of melting near the maximum of intensity that provides high value of melting velocity  $v_{sl}$  =225 m/s and the gap between temperatures.

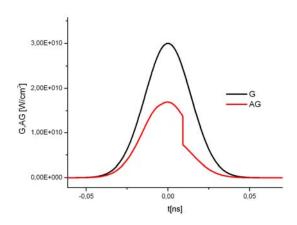


Fig. 4. Time dependences of incident G (black curve) and absorbed part AG (red curve) of laser radiation intensity,  $G_0{=}3x10^{10}\,\text{W/cm}^2.$ 

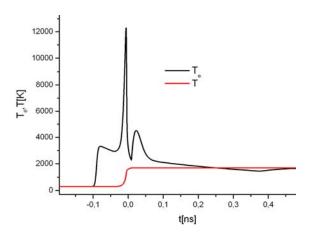


Fig. 5. Time dependences of electron  $T_e$  (black curve) and lattice (red curve) temperatures.

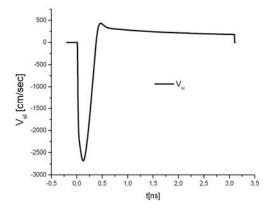


Fig. 6. Time dependence of the melting velocity  $\mathcal{U}_{sl}$ .

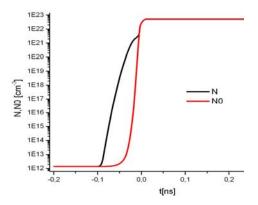


Fig. 7. Time dependences of nonequilibrium N (black curve) and equilibrium  $N_0$  (red curve) carrier concentrations on the surface.

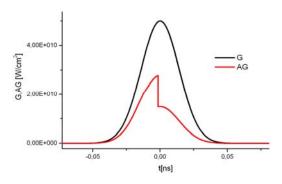


Fig. 8. Time dependences of incident G (black curve) and absorbed part AG (red curve) of laser radiation intensity,  $G_0=5\times10^{10} \text{ W/cm}^2$ .

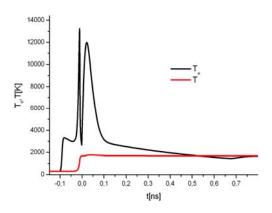


Fig. 9. Time dependences of electron  $T_e$  (black curve) and lattice (red curve) temperatures.

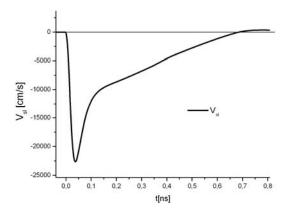


Fig. 10. Time dependence of the melting velocity  $\mathcal{U}_{sl}$ .

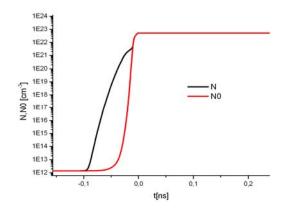


Fig. 11. Time dependences of nonequilibrium N (black curve) and equilibrium  $N_{\theta}$  (red curve) carrier concentrations on the surface.

As seen from the time dependences of temperatures (Fig.5 and Fig.9) throughout pulse duration there is a noticeable gap between the phonon and electron temperatures, which reaches 12000K at the peak of the pulse. By the end of the pulse the phonon and electron temperatures become equal.

Maximum melting front velocity reaches 27 m/s for  $3x10^{10}$  W/cm<sup>2</sup> and 225 m/s for  $5x10^{10}$  W/cm<sup>2</sup>.

The presence of two peaks in the electron temperature in all regimes of irradiation should be noted, that indicates a change of the mechanism of absorption (photoprocesses replaced by inverse bremsstrahlung).

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